

UNITED STATES BANKRUPTCY COURT  
EASTERN DISTRICT OF VIRGINIA  
RICHMOND DIVISION

In re: ANDERSON & STRUDWICK, INCORPORATED § Case No. 14-32679-KLP  
§  
§  
§  
Debtor(s) §

**CHAPTER 7 TRUSTEE'S FINAL ACCOUNT AND DISTRIBUTION  
REPORT CERTIFICATION THAT THE ESTATE HAS BEEN FULLY ADMINISTERED  
AND APPLICATION TO BE DISCHARGED (TDR)**

Bruce E. Robinson, chapter 7 trustee, submits this Final Account, Certification that the Estate has been Fully Administered and Application to be Discharged.

- 1) All funds on hand have been distributed in accordance with the Trustee's Final Report and, if applicable, any order of the Court modifying the Final Report. The case is fully administered and all assets and funds which have come under the trustee's control in this case have been properly accounted for as provided by law. The trustee hereby requests to be discharged from further duties as a trustee.
- 2) A summary of assets abandoned, assets exempt, total distributions to claimants, claims discharged without payment, and expenses of administration is provided below:

Assets Abandoned: <u>\$91,000.00</u> <i>(without deducting any secured claims)</i>	Assets Exempt: <u>\$0.00</u>
Total Distribution to Claimants: <u>\$1,675,673.64</u>	Claims Discharged Without Payment: <u>\$13,668,108.01</u>
Total Expenses of Administration: <u>\$1,008,454.26</u>	

- 3) Total gross receipts of \$2,684,127.90 (see **Exhibit 1**), minus funds paid to the debtor and third parties of \$0.00 (see **Exhibit 2**), yielded net receipts of \$2,684,127.90 from liquidation of the property of the estate, which was distributed as follows:

	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
SECURED CLAIMS (from <b>Exhibit 3</b> )	0.00	0.00	0.00	0.00
PRIORITY CLAIMS CHAPTER 7 ADMIN. FEES AND CHARGES (from <b>Exhibit 4</b> )	0.00	1,008,430.98	1,008,454.26	1,008,454.26
PRIOR CHAPTER ADMIN. FEES AND CHARGES (from <b>Exhibit 5</b> )	0.00	0.00	0.00	0.00
PRIORITY UNSECURED CLAIMS (from <b>Exhibit 6</b> )	0.00	57,513.61	45,513.61	42,959.70
GENERAL UNSECURED CLAIMS (from <b>Exhibit 7</b> )	553,726.36	40,157,119.06	15,161,728.81	1,632,715.23
<b>TOTAL DISBURSEMENTS</b>	<b>\$553,726.36</b>	<b>\$41,223,063.65</b>	<b>\$16,215,696.68</b>	<b>\$2,684,129.19</b>

4) This case was originally filed under Chapter 7 on 05/15/2014.  
The case was pending for 78 months.

5) All estate bank statements, deposit slips, and canceled checks have been submitted to the United States Trustee.

6) An individual estate property record and report showing the final accounting of the assets of the estate is attached as **Exhibit 8**. The cash receipts and disbursements records for each estate bank account, showing the final accounting of the receipts and disbursements of estate funds is attached as **Exhibit 9**.

Pursuant to Fed R Bank P 5009, I hereby certify, under penalty of perjury, that the foregoing report is true and correct.

Dated: 09/28/2020 By: /s/ Bruce E. Robinson  
Trustee

**STATEMENT:** This Uniform Form is associated with an open bankruptcy case, therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

**EXHIBITS TO  
FINAL ACCOUNT**

**EXHIBIT 1 - GROSS RECEIPTS**

DESCRIPTION	UNIFORM TRAN. CODE <sup>1</sup>	\$ AMOUNT RECEIVED
IPOA, LLC - ORIGINAL INVESTMENT OF \$200,000.00	1129-000	37,075.70
MEMBERSHIP INTEREST IN LLC THAT OWNS PARKING GARAG	1129-000	300,000.00
MONEY HELD IN ESCROW BY THE TRUST COMPANY OF STERN	1129-000	63,269.96
Corporate Tax Refund	1224-000	6,435.44
Corporate Tax Refund 2	1224-000	2,394.88
Tax Refund - 09/2015 F-1120	1224-000	10,832.30
Tax Refund 09/2014 F-1120	1224-000	2,267.60
Tax refund 09/2015 F-1120	1224-000	3,891.09
Tax Refund 09/2017 F-1120	1224-000	556.00
End of Case Remnant Asset Purchase	1229-000	5,000.00
GENWORTH LIFE INSURANCE COMPANY COMMISSION	1229-000	130.56
JOHN HANCOCK LONG TERM CARE COMMISSION PAYMENT	1229-000	247.17
MADISON FUNDS	1229-000	200.28
PIMCO	1229-000	79.70
TOUCHSTONE INVESTMENTS	1229-000	24.88
STERN AGEE LITIGATION SETTLEMENT FUNDS	1249-000	2,250,714.34
United States Treasury	1290-000	1,008.00
<b>TOTAL GROSS RECEIPTS</b>		<b>\$2,684,127.90</b>

<sup>1</sup> The Uniform Transaction Code is an accounting code assigned by the trustee for statistical reporting purposes.

**EXHIBIT 2 - FUNDS PAID TO DEBTOR & THIRD PARTIES**

PAYEE	DESCRIPTION	UNIFORM TRANS. CODE	\$ AMOUNT PAID
	None		
<b>TOTAL FUNDS PAID TO DEBTOR &amp; THIRD PARTIES</b>			

**EXHIBIT 3 - SECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6D)	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
			None			

<b>TOTAL SECURED CLAIMS</b>	<b>N/A</b>
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**EXHIBIT 4 - CHAPTER 7 ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
Bruce Robinson	2100-000	N/A	103,773.84	103,773.84	103,773.84
Bruce Robinson	2200-000	N/A	35.46	58.74	58.74
THE McCAMMON GROUP	3721-000	N/A	4,226.70	4,226.70	4,226.70
DTI	3731-000	N/A	2,034.31	2,034.31	2,034.31
DTI	3731-000	N/A	1,425.20	1,425.20	1,425.20
EnTrust Records Management	3991-460	N/A	342.77	342.77	342.77
EnTrust Records Management	3991-460	N/A	8,882.51	8,882.51	8,882.51
LeClairRyan PC	3210-000	N/A	88,960.80	88,960.80	88,960.80
LeClairRyan PC	3210-000	N/A	6,678.78	6,678.78	6,678.78
LECLAIR RYAN PC	3210-000	N/A	665,543.58	665,543.58	665,543.58
LeClairRyan PC	3220-000	N/A	644.92	644.92	644.92
LeClairRyan PC	3220-000	N/A	252.71	252.71	252.71
LECLAIR RYAN PC	3220-000	N/A	1,630.85	1,630.85	1,630.85
Barry Strickland & Company	3410-000	N/A	37,467.96	37,467.96	37,467.96
Barry Strickland & Company	3420-000	N/A	565.29	565.29	565.29
Insurance Partners Agency, Inc.	2300-000	N/A	136.58	136.58	136.58
Insurance Partners	2300-003	N/A	859.67	859.67	859.67
eag	2600-000	N/A	1,676.00	1,676.00	1,676.00
EAGLEBANK	2600-000	N/A	5,538.00	5,538.00	5,538.00
Signature Bank	2600-000	N/A	9,802.81	9,802.81	9,802.81
Texas Capital Bank	2600-000	N/A	22,658.02	22,658.02	22,658.02
L. McCARTHY DOWNS, III	2990-000	N/A	16,215.76	16,215.76	16,215.76
Internal Revenue Service	2810-000	N/A	14,480.00	14,480.00	14,480.00
United States Treasury	2810-000	N/A	845.31	845.31	845.31
United States Treasury	2810-000	N/A	1,292.15	1,292.15	1,292.15
INTERNAL REVENUE SERVICE	2810-000	N/A	2,185.00	2,185.00	2,185.00
INTERNAL REVENUE SERVICE	2810-000	N/A	3,778.00	3,778.00	3,778.00
Virginia Dept of Taxation	2820-000	N/A	3,860.00	3,860.00	3,860.00
Virginia Dept of Taxation	2820-000	N/A	228.00	228.00	228.00
NC Department of Revenue	2820-000	N/A	191.00	191.00	191.00
Virginia Dept of Taxation	2820-000	N/A	1,142.00	1,142.00	1,142.00

NC Department of Revenue	2820-000	N/A	1,077.00	1,077.00	1,077.00
<b>TOTAL CHAPTER 7 ADMIN. FEES and CHARGES</b>		N/A	<b>\$1,008,430.98</b>	<b>\$1,008,454.26</b>	<b>\$1,008,454.26</b>

**EXHIBIT 5 - PRIOR CHAPTER ADMINISTRATIVE FEES and CHARGES**

PAYEE	UNIFORM TRAN. CODE	CLAIMS SCHEDULED	CLAIMS ASSERTED	CLAIMS ALLOWED	CLAIMS PAID
		None			
<b>TOTAL PRIOR CHAPTER ADMIN. FEES and CHARGES</b>					

**EXHIBIT 6 - PRIORITY UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6E)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
	Internal Revenue Service	5800-000	0.00	5,159.70	5,159.70	5,159.70
000001A	L. MCCARTHY DOWNS, III	5300-000	0.00	12,475.00	12,475.00	12,475.00
000002A	DOMINIC EUGENE MCKANN	5300-000	0.00	12,475.00	12,475.00	12,475.00
000007A	COMMONWEALTH OF VIRGINIA	5800-000	0.00	900.00	900.00	0.00
12	GLEN HENRICHES	5300-000	0.00	24,250.00	12,850.00	12,850.00
000013A	Franchise Tax Board	5300-000	0.00	1,653.91	1,653.91	0.00
000014A	Internal Revenue Service	5800-000	0.00	600.00	0.00	0.00
<b>TOTAL PRIORITY UNSECURED CLAIMS</b>			<b>\$0.00</b>	<b>\$57,513.61</b>	<b>\$45,513.61</b>	<b>\$42,959.70</b>

**EXHIBIT 7 - GENERAL UNSECURED CLAIMS**

CLAIM NO.	CLAIMANT	UNIFORM TRAN. CODE	CLAIMS SCHEDULED (from Form 6F)	CLAIMS ASSERTED (from Proofs of Claim)	CLAIMS ALLOWED	CLAIMS PAID
000001B	L. MCCARTHY DOWNS, III	7100-000	413,726.36	413,676.25	413,676.25	44,588.26
000002B	DOMINIC EUGENE MCKANN	7100-000	0.00	15,124.98	15,124.98	1,629.28
000002B	U.S. BANKRUPTCY COURT	7100-001	N/A	0.00	0.98	0.98
3	PITNEY BOWES INC	7100-001	0.00	20,030.61	20,030.61	2,159.00
3	U.S. BANKRUPTCY COURT	7100-001	N/A	0.00	1.29	1.29
4	SHAREHOLDERS INC.	7100-000	0.00	21,591,945.21	14,000,000.00	1,508,092.12
4	Clerk's Office	7100-001	N/A	0.00	903.67	903.67
5	GLEN HENRICHES	7100-000	0.00	5,200.00	5,200.00	560.15
5	U.S. BANKRUPTCY COURT	7100-001	N/A	0.00	0.34	0.34
6	OYSTER CONSULTING, LLC	7100-000	0.00	9,000.00	9,000.00	969.49

6	U.S. BANKRUPTCY COURT	7100-001	N/A	0.00	0.58	0.58
000007B	COMMONWEALTH OF VIRGINIA	7100-000	0.00	12,800.00	12,800.00	0.00
8	STERNE AGEE GROUP, INC.	7100-000	0.00	16,743,994.71	0.00	0.00
9	STERNE, AGEE, & LEACH, INC.	7100-000	0.00	660,257.19	0.00	0.00
10	LUCILLE R. SAUNDERS	7100-000	0.00	273,018.00	273,018.00	29,427.36
11	DONALD H. NEWLIN AND WILLIAM H. MCC	7100-000	0.00	411,769.62	411,769.62	44,382.71
000013B	Franchise Tax Board	7200-000	0.00	202.49	202.49	0.00
000014B	Internal Revenue Service	7100-000	0.00	100.00	0.00	0.00
NOTFILED	COR Clearing, LLC	7100-000	N/A	0.00	0.00	0.00
NOTFILED	Dean Weingardner	7100-000	N/A	0.00	0.00	0.00
NOTFILED	Duane Morris LLP	7100-000	N/A	0.00	0.00	0.00
NOTFILED	FINRA Dispute Resolution	7100-000	N/A	0.00	0.00	0.00
NOTFILED	Hirschler Fleischer	7100-000	N/A	0.00	0.00	0.00
NOTFILED	Keiter Stephens	7100-000	N/A	0.00	0.00	0.00
NOTFILED	Ming Yang	7100-000	N/A	0.00	0.00	0.00
NOTFILED	Oyster Consulting	7100-000	N/A	0.00	0.00	0.00
NOTFILED	Pershing, LLC	7100-000	N/A	0.00	0.00	0.00
NOTFILED	Robin J. Dartell	7100-000	N/A	0.00	0.00	0.00
NOTFILED	Sandie Haynes	7100-000	N/A	0.00	0.00	0.00
NOTFILED	Todd Newton	7100-000	140,000.00	0.00	0.00	0.00
<b>TOTAL GENERAL UNSECURED CLAIMS</b>			<b>\$553,726.36</b>	<b>\$40,157,119.06</b>	<b>\$15,161,728.81</b>	<b>\$1,632,715.23</b>

**FORM 1**  
**INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT**  
**ASSET CASES**

**Case Number:** 14-32679 KLP  
**Case Name:** ANDERSON & STRUDWICK, INCORPORATED

**Period Ending:** 09/28/20

**Trustee:** Bruce E. Robinson  
**Filed (f) or Converted (c):** 05/15/14 (f)  
**§341(a) Meeting Date:** 07/14/14  
**Claims Bar Date:** 01/12/15

Ref #	1 Asset Description (Scheduled And Unscheduled (u) Property)	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Abandoned OA=\$554(a) Abandon	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
1	BANK ACCOUNT AT STERNE AGEE	11,000.00	11,000.00		0.00	FA
2	ACCOUNT AT PERSHING, LLC	Unknown	1.00		0.00	FA
3	ACCOUNT AT COR CLEARING, LLC	80,000.00	80,000.00		0.00	FA
4	VARIOUS SECURITY DEPOSITS HELD BY REAL PROPERTY LE	Unknown	1.00		0.00	FA
5	MEMBERSHIP INTEREST IN LLC THAT OWNS PARKING GARAG Trustee entered into Membership Interest Redemption and Assignment Agreement with Independent Property Owners of America, LLC on 10/10/16. Court Order 2/23/17	Unknown	300,000.00		300,000.00	FA
6	IPOA, LLC - ORIGINAL INVESTMENT OF \$200,000.00 Possibility of additional funds, gross value of remaining asset is unknown at this time	Unknown	37,075.70		37,075.70	FA
7	NOTES FROM FORMER BROKERS	Unknown	1.00		0.00	FA
8	JUDGMENTS OBTAINED AGAINST FORMER BROKERS	Unknown	1.00		0.00	FA
9	POTENTIAL CLAIMS AGAINST PROFESSIONALS WHO PROVIDE	Unknown	1.00		0.00	FA
10	MONEY HELD IN ESCROW BY THE TRUST COMPANY OF STERN	1,000,000.00	63,269.96		63,269.96	FA
11	TAIL D&O COVERAGE	Unknown	1.00		0.00	FA
12	MADISON FUNDS (u) Possibility of additional funds, gross value of remaining asset is unknown at this time	Unknown	200.28		200.28	FA
13	PIMCO (u) Possibility of additional funds, gross value of remaining asset is unknown	Unknown	79.70		79.70	FA
14	TOUCHSTONE INVESTMENTS (u) Possibility of additional funds, gross value of remaining asset is unknown at this time	Unknown	24.88		24.88	FA

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Ref #	1 Asset Description (Scheduled And Unscheduled (u) Property)	2 Petition/ Unscheduled Values	3 Estimated Net Value (Value Determined By Trustee, Less Liens, Exemptions, and Other Costs)	4 Property Abandoned OA=\$554(a) Abandon	5 Sale/Funds Received by the Estate	6 Asset Fully Administered (FA)/ Gross Value of Remaining Assets
15	JOHN HANCOCK LONG TERM CARE COMMISSION PAYMENT (u) Possibility of additional funds, gross value of remaining asset is unknown at this time	Unknown	247.17		247.17	FA
16	GENWORTH LIFE INSURANCE COMPANY COMMISSION (u)	Unknown	130.56		130.56	FA
17	STERN AGEE LITIGATION SETTLEMENT FUNDS (u) Order approving 10/15/15	2,250,714.34	2,250,714.34		2,250,714.34	FA
18	Tax Refund - 09/2015 F-1120 (u)	10,829.33	10,832.30		10,832.30	FA
19	Corporate Tax Refund (u)	3,805.55	3,805.55		5,288.93	FA
20	End of Case Remnant Asset Purchase (u)	5,000.00	5,000.00		5,000.00	FA
21	Corporate Tax Refund 2 (u)	2,394.88	2,394.88		2,394.88	FA
22	Corporate Tax Refund (u)	1,146.51	1,146.51		1,146.51	FA
23	Tax Refund 09/2017 F-1120 (u)	0.00	556.00		556.00	FA
24	Tax Refund 09/2014 F-1120 (u)	0.00	2,267.60		2,267.60	FA
25	Tax refund 09/2015 F-1120 (u)	0.00	3,891.09		3,891.09	FA
<b>TOTALS (Excluding Unknown Values)</b>		<b>\$3,364,890.61</b>	<b>\$2,772,642.52</b>		<b>\$2,683,119.90</b>	<b>\$0.00</b>

**Major activities affecting case closing:**

Pursuing assets, seeking counsel  
11/06/14 Trustee received email from Kirk Vogel stating LeClair Ryan had worked through conflict with Sterne Agee and preparing employment app for review and filing  
11/12/14 Filed Application to Employ LeClair Ryan PC as Special Counsel to Trustee (Order 11/28/14)  
11/17/14 Received \$63k of funds held in trust by Sterne Agee  
04/20/15 Received (2) distributions from \$200k IPOA investment totaling \$26.7k  
09/30/15 Ongoing pursuit of assets  
12/30/15 Ongoing Litigation  
04/08/16 Received Stern Agee Settlement funds via wire in the sum of \$2,250,714.34  
06/30/16 Ongoing pursuit of non-disclosed assets  
10/10/16 Trustee signed 'Membership Interest Redemption and Assignment Agreement' whereby he will transfer (10) membership units from the Estate to IPOA, LLC in exchange for \$300,000.00, approval pending  
02/01/17 Motion to Approve Sale of Membership Interest to IPOA was filed  
02/01/17 Hearing set for 2/22/17 @ 10:30  
02/22/17 Order entered approving sale of membership \$300,000.00 (funds held in escrow by LeClairRyan PC)

**FORM 1**  
**INDIVIDUAL ESTATE PROPERTY RECORD AND REPORT**  
**ASSET CASES**

08/01/17 Trustee Counsel filed 2nd Application for Compensation - Hearing set for 8/23 @ 10:30 a.m.  
08/01/17 Trustee contacted by Oak Point Partners making \$5,000 offer to purchase end of case remnant assets from bk estate  
08/02/17 Trustee along with his counsel has agreed to accept the \$5,000 offer, court approval pending  
09/15/17 Order entered approving sale  
09/25/17 Received \$5,000 from Oak Point Partners, made deposit  
08/21/18 Received tax refunds for 2014, 2015 and 2017 F-1120. Accountant working on withholding and payroll taxes for wage claimants.  
09/12/18 Trustee filed 3rd and final fee app for LeClair Ryan, hearing set for 10/17  
09/12/18 Awaiting approval of trustee counsel 3rd and final fee app; once approved, will wait for payment to clear, receive bank statement and then submit TFR.  
09/21/18 Trustee filed final fee app for Barry Strickland  
10/18/18 Hearing held on LeClair Ryan fee app and approved, Order pending  
10/29/18 Filed Notice of Fee App for Barry Strickland; Hearing set for 11/20/18 @ 10:30 AM  
11/27/18 Order entered approving fee app for Barry Strickland  
Note: Court granted approval of Settlement wherein Class Action Claim is allowed as unsecured proof of claim in the amount of \$14,000,000.  
03/27/19 Correction The Shareholders are to receive 92% of remaining funds after payment of Secured Claims, Chapter 7 Admin fees and costs, and any other priority claims per Order 3/14/16. APN 15-03384  
04/23/19 Distribution made per court order 4/22/19.  
07/23/19 Stop payment issued on Ck 53021 - check has not cleared the bank in 90 days. Will send funds to the court as unclaimed.  
08/12/19 Unclaimed funds sent to court and cleared bank on 8/12/19.  
09/30/19 Trustee notified of over payment of federal unemployment taxes on the 3 wage claims, funds to be returned to estate.  
02/14/20 Received \$1,008 representing the overpayment of federal unemployment taxes.  
03/27/20 Filed Report of Returned Funds Available for Distribution; pending approval by UST Region.  
06/03/20 Received authority to distribute the \$1,008.00.  
09/08/20 2 checks have not cleared the bank nor have they been returned to the Trustee; issued stop payment on stale dated checks and remitted the funds to the court in the amount of \$921.29.  
09/10/20 Once the check to court clears and zero balance bank statement is received, the TDR will be submitted for review.

**Initial Projected Date of Final Report (TFR):** May 14, 2016

**Current Projected Date of Final Report (TFR):** February 06, 2019 (Actual)

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September 28, 2020  
Date

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/s/ Bruce E. Robinson  
Bruce E. Robinson

**Form 2**  
**Cash Receipts and Disbursements Record**

<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Eaglebank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****0001 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
11/17/14	Asset #10	ANDERSON & STRUDWICK INC	LIQUIDATION OF PERSONAL PROPERTY MONEY HELD IN TRUST BY STERNE AGEE FOR DEBTOR	1129-000	63,269.96		63,269.96
12/16/14		EAGLEBANK	BANK SERVICE FEE	2600-000		65.00	63,204.96
01/14/15		EAGLEBANK	BANK SERVICE FEE	2600-000		67.00	63,137.96
02/10/15		EAGLEBANK	BANK SERVICE FEE	2600-000		67.00	63,070.96
03/12/15		EAGLEBANK	BANK SERVICE FEE	2600-000		60.00	63,010.96
04/09/15		EAGLEBANK	BANK SERVICE FEE	2600-000		67.00	62,943.96
04/10/15	1001	Insurance Partners Agency, Inc.	Bond Premium Policy #3792908 (03/01/2015 - 03/01/2016) Invoice 104055	2300-000		87.07	62,856.89
04/20/15	Asset #6	IPOA	DEPOSIT- ASSET2011-2014 DISTRIBUTION FROM IPOA	1129-000	24,989.70		87,846.59
04/20/15	Asset #6	IPOA	DEPOSIT- ASSETJAN-MAR 2015 DISTRIBUTIONS FROM IPOA	1129-000	1,797.90		89,644.49
05/12/15		EAGLEBANK	BANK SERVICE FEE	2600-000		74.00	89,570.49
06/10/15		EAGLEBANK	BANK SERVICE FEE	2600-000		95.00	89,475.49
07/08/15		EAGLEBANK	BANK SERVICE FEE	2600-000		92.00	89,383.49
08/12/15		EAGLEBANK	BANK SERVICE FEE	2600-000		95.00	89,288.49

**Form 2**  
**Cash Receipts and Disbursements Record**

<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Eaglebank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****0001 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
09/10/15		EAGLEBANK	BANK SERVICE FEE	2600-000		95.00	89,193.49
10/09/15		EAGLEBANK	BANK SERVICE FEE	2600-000		92.00	89,101.49
11/02/15	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYDEALER COMMISSION CHECK	1229-000	6.00		89,107.49
11/04/15		EAGLEBANK	BANK SERVICE FEE	2600-000		95.00	89,012.49
11/13/15	Asset #15	JOHN HANCOCK	LIQUIDATION OF PERSONAL PROPERTYLONG TERM CARE COMMISSION PAYMENT	1229-000	150.04		89,162.53
11/13/15	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS COMMISSION CHECK	1229-000	2.00		89,164.53
11/13/15	Asset #13	PIMCO	LIQUIDATION OF PERSONAL PROPERTYPIMCO COMPENSATION FEES	1229-000	26.88		89,191.41
11/13/15	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS COMMISSION CHECK	1229-000	2.00		89,193.41
11/13/15	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS COMMISSION CHECK	1229-000	67.93		89,261.34
11/13/15	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS COMMISSION CHECK	1229-000	4.00		89,265.34

**Form 2**  
**Cash Receipts and Disbursements Record**

<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Eaglebank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****0001 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
11/19/15	Asset #14	TOUCHSTONE INVESTMENTS	LIQUIDATION OF PERSONAL PROPERTYCOMMISSION CHECK	1229-000	12.63		89,277.97
12/05/15	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS DEALER COMMISSION CHECK	1229-000	2.00		89,279.97
12/08/15		EAGLEBANK	BANK SERVICE FEE	2600-000		92.00	89,187.97
12/11/15	1002	DTI	CONSULTANTPaid per court order 12/9/15Anderson & Strudwick	3731-000		1,425.20	87,762.77
12/11/15	1003	THE McCAMMON GROUP	MEDIATORPaid per court order 12/9/15	3721-000		4,226.70	83,536.07
12/16/15	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYDEALER COMMISSION CHECK	1229-000	6.00		83,542.07
12/30/15	Asset #15	JOHN HANCOCK	LIQUIDATION OF PERSONAL PROPERTYJOHN HANCOCK LONG TERM CARE PAYMENT	1229-000	31.67		83,573.74
12/30/15	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYDEALER COMMISSION CHECK	1229-000	2.00		83,575.74
01/13/16		EAGLEBANK	BANK SERVICE FEE	2600-000		92.00	83,483.74
01/15/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS COMMISSION CHECK	1229-000	4.00		83,487.74

**Form 2**  
**Cash Receipts and Disbursements Record**

<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Eaglebank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****0001 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
01/29/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS DEALER COMMISSION CHECK	1229-000	2.00		83,489.74
02/09/16		EAGLEBANK	BANK SERVICE FEE	2600-000		89.00	83,400.74
02/16/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS DEALER COMMISSION CHECK	1229-000	68.85		83,469.59
02/16/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS DEALER COMMISSION CHECK	1229-000	2.00		83,471.59
02/16/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS DEALER COMMISSION CHECK	1229-000	4.00		83,475.59
02/26/16	Asset #13	PIMCO	LIQUIDATION OF PERSONAL PROPERTYPIMCO FEE EARNINGS FOR PERIOD 10/01/2015 - 12/31/2015	1229-000	26.75		83,502.34
03/02/16	Asset #14	ANDERSON & STRUDWICK INC	LIQUIDATION OF PERSONAL PROPERTYTOUCHSTONE INVESTMENTS 12B1 COMMISSION CHECK	1229-000	12.25		83,514.59
03/07/16		EAGLEBANK	BANK SERVICE FEE	2600-000		83.00	83,431.59
03/10/16	1004	Insurance Partners Agency, Inc.	BOND PREMIUMPolicy #3792908 (03/01/2016 - 03/01/2017)	2300-000		49.51	83,382.08

**Form 2**  
**Cash Receipts and Disbursements Record**

<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Eaglebank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****0001 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
03/16/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYDEALER COMMISSION CHECK	1229-000	4.00		83,386.08
03/16/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYDEALER COMMISSION CHECK	1229-000	2.00		83,388.08
03/16/16	Asset #16	GENWORTH LIFE INSURANCE COMPANY	LIQUIDATION OF PERSONAL PROPERTYCOMMISSION CHECK	1229-000	130.56		83,518.64
03/30/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS DEALER COMMISSION	1229-000	2.50		83,521.14
03/30/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS DEALER COMMISSION	1229-000	2.00		83,523.14
04/08/16	Asset #17	CHRISTIAN & BARTON, LLP	OTHER LITIGATION/SETTLEMENTSFULL SETTLEMENT FUNDS OF THE STERN AGEE LITIGATION PER COURT ORDER 10/15/15 (related doc #108)	1249-000	2,250,714.34		2,334,237.48
04/11/16		EAGLEBANK	BANK SERVICE FEE	2600-000		89.00	2,334,148.48
04/18/16	Asset #15	JOHN HANCOCK	LIQUIDATION OF PERSONAL PROPERTYLONG TERM CARE COMMISSION PAYMENT	1229-000	65.46		2,334,213.94
04/18/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYDEALER COMMISSION CHECK	1229-000	4.00		2,334,217.94
05/09/16		EAGLEBANK	BANK SERVICE FEE	2600-000		1,652.00	2,332,565.94

**Form 2**  
**Cash Receipts and Disbursements Record**

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<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Eaglebank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****0001 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
05/18/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS DEALER COMMISSION CHECK	1229-000	4.00		2,332,569.94
05/18/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS DEALER COMMISSION CHECK	1229-000	2.50		2,332,572.44
05/18/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYMADISON FUNDS DEALER COMMISSION CHECK	1229-000	2.50		2,332,574.94
06/01/16		EAGLEBANK	BANK SERVICE FEE	2600-000		2,477.00	2,330,097.94
06/06/16	Asset #13	PIMCO	LIQUIDATION OF PERSONAL PROPERTYDEALER COMMISSION CHECK	1229-000	26.07		2,330,124.01
06/06/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYDEALER COMMISSION CHECK	1229-000	2.00		2,330,126.01
06/17/16		eag	June 16'-prorated bank service fee	2600-000		1,676.00	2,328,450.01
06/21/16		Trsf To Signature Bank	FINAL TRANSFER	9999-000		2,328,450.01	0.00

<b>ACCOUNT TOTALS</b>	<b>2,341,452.49</b>	<b>2,341,452.49</b>	<b>\$0.00</b>
Less: Bank Transfers	0.00	2,328,450.01	
<b>Subtotal</b>	<b>2,341,452.49</b>	<b>13,002.48</b>	
Less: Payment to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$2,341,452.49</b>	<b>\$13,002.48</b>	

**Form 2**  
**Cash Receipts and Disbursements Record**

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<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Signature Bank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****4646 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
06/21/16		Trsf In From EAGLEBANK	INITIAL WIRE TRANSFER IN	9999-000	2,328,450.01		2,328,450.01
07/21/16	Asset #12	MADISON FUNDS	LIQUIDATION OF PERSONAL PROPERTYUnscheduled - MADISON FUNDS DEALER COMMISSION CHECK	1229-000	2.00		2,328,452.01
08/05/16		Signature Bank	BANK SERVICE FEE	2600-000		2,471.99	2,325,980.02
08/10/16	10001	DTI	Consultant for TrusteePaid per court order 8/5/16Electronic Discovery Advisor to Trustee	3731-000		2,034.31	2,323,945.71
08/10/16	10002	LeClairRyan PC	Attorney for Trustee Fees(Other Firm) Paid per court order 8/5/16First Interim Application	3210-000		665,543.58	1,658,402.13
08/10/16	10003	LeClairRyan PC	Attorney for Trustee Expenses(Other Firm) Paid per court order 8/5/16First Interim Application	3220-000		1,630.85	1,656,771.28
09/06/16	Asset #6	IPOA	LIQUIDATION OF PERSONAL PROPERTYIPOA DISTRIBUTIONS 4/2015 - 8/2016 (ANDERSON & STRUDWICK DIVIDENDS)	1129-000	10,288.10		1,667,059.38
09/08/16		Signature Bank	BANK SERVICE FEE	2600-000		2,148.85	1,664,910.53
10/07/16		Signature Bank	BANK SERVICE FEE	2600-000		1,708.57	1,663,201.96
11/07/16		Signature Bank	BANK SERVICE FEE	2600-000		1,766.08	1,661,435.88
12/07/16		Signature Bank	BANK SERVICE FEE	2600-000		1,707.32	1,659,728.56

## **Form 2**

### **Cash Receipts and Disbursements Record**

<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Signature Bank
		<b>Account:</b>	*****4646 - Checking Account
<b>Taxpayer ID#:</b>	54-0968806	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
<b>Period Ending:</b>	09/28/20	<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
12/19/16		Transfer to Texas Capital Bank	Transfer to Texas Capital Bank	9999-000		1,659,728.56	0.00

<b>ACCOUNT TOTALS</b>	<b>2,338,740.11</b>	<b>2,338,740.11</b>	<b>\$0.00</b>
Less: Bank Transfers	2,328,450.01	1,659,728.56	
<b>Subtotal</b>	<b>10,290.10</b>	<b>679,011.55</b>	
Less: Payment to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$10,290.10</b>	<b>\$679,011.55</b>	

**Form 2**  
**Cash Receipts and Disbursements Record**

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<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Texas Capital Bank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****4502 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
12/19/16		Transfer from Signature Bank	Transfer from Signature Bank	9999-000	1,659,728.56		1,659,728.56
01/03/17		Texas Capital Bank	Bank Service Fee	2600-000		972.51	1,658,756.05
01/31/17		IRS	Wire out - taxes due FYE 9/30/15	2810-000		14,480.00	1,644,276.05
02/03/17		Texas Capital Bank	Bank Service Fee	2600-000		2,317.15	1,641,958.90
03/03/17		Texas Capital Bank	Bank Service Fee	2600-000		2,294.48	1,639,664.42
03/08/17	53001	Insurance Partners	Bond Premium (reversed printer error)	2300-003		859.67	1,638,804.75
03/08/17	53001	Insurance Partners	Bond Premium (reversed printer error) Insurance Partners	2300-003		-859.67	1,639,664.42
03/08/17	53002	Insurance Partners	Bond Premium	2300-000		859.67	1,638,804.75
03/08/17	53003	Virginia Department of Taxation	Tax Period 10-1-14 t. 9-30-15Corporate Tax 500C	2820-000		3,860.00	1,634,944.75
04/03/17		Texas Capital Bank	Bank Service Fee	2600-000		2,287.59	1,632,657.16
05/01/17	Asset #18	United States Treasury	Refund - 09/2015 F-1120	1224-000	10,829.33		1,643,486.49
05/03/17		Texas Capital Bank	Bank Service Fee	2600-000		2,281.46	1,641,205.03
06/05/17		Texas Capital Bank	Bank Service Fee	2600-000		2,293.40	1,638,911.63
06/30/17	53004	EnTrust Records Management	Paid per court order 6/28/17	3991-460		8,882.51	1,630,029.12

**Form 2**  
**Cash Receipts and Disbursements Record**

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<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Texas Capital Bank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****4502 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
07/03/17		Texas Capital Bank	Bank Service Fee	2600-000		2,290.41	1,627,738.71
07/10/17		IPOA	Reversed to reconcile adjustment - wire in	1129-000	300,000.00		1,927,738.71
07/10/17	Asset #5	IPOA	Settlement funds - Order 2/23/17	1129-000	300,000.00		2,227,738.71
07/10/17	53005	EnTrust Records Management	Paid per Court Order 6/28/17	3991-460		342.77	2,227,395.94
07/11/17	Asset #19	Department of Taxation	Asset check - corporate tax refund	1224-000	3,805.55		2,231,201.49
07/11/17		IPOA	Reversed to reconcile adjustment - wire in IPOA	1129-000	-300,000.00		1,931,201.49
08/03/17		Texas Capital Bank	Bank Service Fee	2600-000		2,578.99	1,928,622.50
09/05/17		Texas Capital Bank	Bank Service Fee	2600-000		2,695.02	1,925,927.48
09/15/17	53006	LeClairRyan PC	Attorney for Trustee Fees (Other Firm) Paid per court order 8/29/17	3210-000		88,960.80	1,836,966.68
09/15/17	53007	LeClairRyan PC	Attorney for Trustee Expenses (Other Firm) Paid per court order 8/29/17	3220-000		644.92	1,836,321.76
09/25/17	Asset #20	Oak Point Partners, Inc.	Asset Funds - purchase of remnant assets	1229-000	5,000.00		1,841,321.76
10/04/17		Texas Capital Bank	Bank Service Fee	2600-000		2,647.01	1,838,674.75
10/24/17		Internal Revenue Service	Corporate Income Tax Form 1120 FYE 9/30/14 E.I.N. 54-0968806 (wire transfer)	2810-000		2,185.00	1,836,489.75

**Form 2**  
**Cash Receipts and Disbursements Record**

<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Texas Capital Bank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****4502 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
10/25/17	53008	NC Department of Revenue	2013 Form CD-405 FYE 9/30/14 E.I.N. 54-0968806	2820-000		191.00	1,836,298.75
10/26/17		Virginia Department of Taxation	Virginia Corporate Tax Form 500 FYE 9/30/14 (electronic payment)	2820-000		228.00	1,836,070.75
11/15/17		Virginia Department of Taxation	Virginia Corporate Tax Form 500 FYE 9/30/15 (electronic payment)	2820-000		1,142.00	1,834,928.75
11/21/17	Asset #21	Department of Taxation	Corporate Tax Refund	1224-000	2,394.88		1,837,323.63
11/21/17		Internal Revenue Service	U.S. Corporation Tax (Form 1120X) FYE 9/30/15 (wire transfer)	2810-000		3,778.00	1,833,545.63
12/04/17	53009	NC Department of Revenue	E.I.N. 54-0968806 2015 Form CD-405 balance due FYE 09/30/16	2820-000		1,077.00	1,832,468.63
12/15/17	Asset #22	Virginia Dept of Taxation	Asset funds - Corporate Tax Refund	1224-000	1,146.51		1,833,615.14
01/03/18	53010	United States Treasury	ID 54-0968806 Form 1120 FYE 09/30/2014	2810-000		845.31	1,832,769.83
01/26/18	53011	United States Treasury	Employer ID 54-0968806 Form 1120 Tax Period September 30, 2015	2810-000		1,292.15	1,831,477.68
03/12/18	Asset #18	United States Treasury	Asset check - refund 09/2015 F-1120	1224-000	2.97		1,831,480.65
04/09/18	Asset #19	NC Dept of Revenue	Asset - 2016 corporate income tax refund	1224-000	297.56		1,831,778.21
08/13/18	Asset #23	United States Treasury	Asset check - refund 09/2017 F-1120	1224-000	556.00		1,832,334.21
08/17/18	Asset #24	United States Treasury	Asset check - refund 09/2014 F-1120	1224-000	2,267.60		1,834,601.81

**Form 2**  
**Cash Receipts and Disbursements Record**

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<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Texas Capital Bank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****4502 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
08/20/18	Asset #25	United States Treasury	Asset check - refund 09/2015 F-1120	1224-000	3,891.09		1,838,492.90
10/04/18	Asset #19	NC Dept of Revenue	Asset check - refund of overpayment plus interest for period 10/01/16 - 09/30/17	1224-000	1,185.82		1,839,678.72
10/23/18	53012	LeClairRyan PC	Paid per court order 10/22/18	3210-000		6,678.78	1,832,999.94
10/23/18	53013	LeClairRyan PC	Paid per court order 10/22/18	3220-000		252.71	1,832,747.23
11/29/18	53014	Barry Strickland & Company	Paid per court order 11/27/18 Accountant for Trustee Fees (Other Firm)	3410-000		37,467.96	1,795,279.27
11/29/18	53015	Barry Strickland & Company	Paid per court order 11/27/18 Accountant for Trustee Expenses (Other Firm)	3420-000		565.29	1,794,713.98
04/23/19	53016	Bruce Robinson	Trustee Fees paid per court order 4/22/19	2100-000		103,743.60	1,690,970.38
04/23/19	53017	Bruce Robinson	Trustee Expenses paid per court order 4/22/19	2200-000		58.74	1,690,911.64
04/23/19	53018	L. McCARTHY DOWNS, III	Admin claim paid per court order 6/23/16	2990-000		16,215.76	1,674,695.88
04/23/19	53019	L. McCARTHY DOWNS, III	Disb of 10.77% to Claim #000001B	7100-000		44,561.56	1,630,134.32
04/23/19	53020	DOMINIC EUGENE MCKANN	Disb of 10.77% to Claim #000002B	7100-000		1,629.28	1,628,505.04
04/23/19	53021	PITNEY BOWES INC	Disb of 10.77% to Claim #000003	7100-004		2,157.71	1,626,347.33
04/23/19	53022	SHAREHOLDERS INC.	Disb of 10.77% to Claim #000004	7100-000		1,508,092.12	118,255.21
04/23/19	53023	GLEN HENRICHES	Disb of 10.77% to Claim #000005	7100-000		560.15	117,695.06

**Form 2**  
**Cash Receipts and Disbursements Record**

<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Texas Capital Bank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****4502 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
04/23/19	53024	OYSTER CONSULTING, LLC	Disb of 10.77% to Claim #000006	7100-000		969.49	116,725.57
04/23/19	53025	LUCILLE R. SAUNDERS	Disb of 10.77% to Claim #000010	7100-000		29,409.74	87,315.83
04/23/19	53026	DONALD H. NEWLIN AND WILLIAM H. MCC	Disb of 10.77% to Claim #000011	7100-000		44,356.13	42,959.70
04/23/19	53027	L. MCCARTHY DOWNS, III	Gross: \$12,475.00 Fed: \$7,779.92 SocSec: \$773.45 Medicare: \$180.89 State: \$677.02	5300-000		3,063.72	39,895.98
04/23/19	53028	DOMINIC EUGENE MCKANN	Gross: \$12,475.00 Fed: \$1,627.75 SocSec: \$773.45 Medicare: \$180.89 State: \$668.11	5300-000		9,224.80	30,671.18
04/23/19	53029	GLEN HENRICHES	Gross: \$12,850.00 Fed: \$2,119.13 SocSec: \$796.70 Medicare: \$186.32 State: \$680.75	5300-000		9,067.10	21,604.08
04/23/19		Internal Revenue Service	Withholdings for (Fed, Medicare, SocSec)electronic payment			14,418.50	7,185.58
04/23/19		Internal Revenue Service	Disb of 100.00% to Claim #Employer matching taxes / electronic payment			5,159.70	2,025.88
04/23/19		Virginia SIT	Withholdings for State Withholdingelectronic payment			2,025.88	0.00
04/23/19			L. MCCARTHY DOWNS, III (State) 677.02	5300-000			0.00
04/23/19			GLEN HENRICHES (State) 680.75	5300-000			0.00
04/23/19			DOMINIC EUGENE MCKANN (State) 668.11	5300-000			0.00

**Form 2**  
**Cash Receipts and Disbursements Record**

<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Texas Capital Bank
		<b>Account:</b>	*****4502 - Checking Account
<b>Taxpayer ID#:</b>	54-0968806	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
<b>Period Ending:</b>	09/28/20	<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
04/23/19			L. MCCARTHY DOWNS, III (Medicare)	180.89	5300-000		0.00
04/23/19			L. MCCARTHY DOWNS, III (SocSec)	773.45	5300-000		0.00
04/23/19			L. MCCARTHY DOWNS, III (Fed)	7,779.92	5300-000		0.00
04/23/19			GLEN HENRICHES (Medicare)	186.32	5300-000		0.00
04/23/19			GLEN HENRICHES (SocSec)	796.70	5300-000		0.00
04/23/19			GLEN HENRICHES (Fed)	2,119.13	5300-000		0.00
04/23/19			DOMINIC EUGENE MCKANN (Medicare)	180.89	5300-000		0.00
04/23/19			DOMINIC EUGENE MCKANN (SocSec)	773.45	5300-000		0.00
04/23/19			DOMINIC EUGENE MCKANN (Fed)	1,627.75	5300-000		0.00
04/23/19			L. MCCARTHY DOWNS, III (Fed Employer)	748.50	5800-000		0.00
04/23/19			L. MCCARTHY DOWNS, III (Medicare Employer)	180.89	5800-000		0.00

**Form 2**  
**Cash Receipts and Disbursements Record**

Exhibit 9

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<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Texas Capital Bank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****4502 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
04/23/19			L. MCCARTHY DOWNS, III (SocSec Employer) 773.45	5800-000			0.00
04/23/19			GLEN HENRICHES (Fed Employer) 771.00	5800-000			0.00
04/23/19			GLEN HENRICHES (Medicare Employer) 186.32	5800-000			0.00
04/23/19			GLEN HENRICHES (SocSec Employer) 796.70	5800-000			0.00
04/23/19			DOMINIC EUGENE MCKANN (Fed Employer) 748.50	5800-000			0.00
04/23/19			DOMINIC EUGENE MCKANN (Medicare Employer) 180.89	5800-000			0.00
04/23/19			DOMINIC EUGENE MCKANN (SocSec Employer) 773.45	5800-000			0.00
07/23/19	53021	PITNEY BOWES INC	Stop Payment on Check 53021 PITNEY BOWES INC	7100-004		-2,157.71	2,157.71
07/29/19	53030	Clerk's Office	Unclaimed funds - stop payment issued on stale dated check and funds remitted to Court	7100-001		2,157.71	0.00
02/14/20		United States Treasury	Refund for overpayment of federal unemployment taxes	1290-000	1,008.00		1,008.00

## **Form 2**

### **Cash Receipts and Disbursements Record**

<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Texas Capital Bank
		<b>Account:</b>	*****4502 - Checking Account
<b>Taxpayer ID#:</b>	54-0968806	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
<b>Period Ending:</b>	09/28/20	<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	Uniform Tran. Code	5 Receipts \$	6 Disbursements \$	7 Checking Account Balance
05/28/20		Signature Bank	Transfer to account ending 9068	9999-000		1,008.00	0.00

<b>ACCOUNT TOTALS</b>	<b>1,992,113.87</b>	<b>1,992,113.87</b>	<b>\$0.00</b>
Less: Bank Transfers	1,659,728.56	1,008.00	
<b>Subtotal</b>	<b>332,385.31</b>	<b>1,991,105.87</b>	
Less: Payment to Debtors		0.00	
<b>NET Receipts / Disbursements</b>	<b>\$332,385.31</b>	<b>\$1,991,105.87</b>	

**Form 2**  
**Cash Receipts and Disbursements Record**

Exhibit 9

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<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Signature Bank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****9068 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
05/28/20		Texas Capital Bank	Transfer from account ending 4502	9999-000	1,008.00		1,008.00
06/03/20	54001	U.S. BANKRUPTCY COURT	Remitted to Court			3.19	1,004.81
06/03/20				0.58	7100-001		1,004.81
06/03/20				0.34	7100-001		1,004.81
06/03/20				1.29	7100-001		1,004.81
06/03/20				0.98	7100-001		1,004.81
06/03/20	54002	Bruce Robinson	Trustee Expense	2100-000		30.24	974.57
06/03/20	54003	L. MCCARTHY DOWNS, III	Disb of 0.01% to Claim #000001B	7100-000		26.70	947.87
06/03/20	54004	SHAREHOLDERS INC.	Disb of 0.01% to Claim #000004; Stopped on 09/08/2020	7100-004		903.67	44.20
06/03/20	54005	LUCILLE R. SAUNDERS	Disb of 0.01% to Claim #000010; Stopped on 09/08/2020	7100-004		17.62	26.58
06/03/20	54006	DONALD H. NEWLIN AND WILLIAM H. MCC	Disb of 0.01% to Claim #000011	7100-000		26.58	0.00
09/08/20	54004	SHAREHOLDERS INC.	Disb of 0.01% to Claim #000004; Stopped: Check issued on 06/03/2020	7100-004		-903.67	903.67
09/08/20	54005	LUCILLE R. SAUNDERS	Disb of 0.01% to Claim #000010; Stopped: Check issued on 06/03/2020	7100-004		-17.62	921.29
09/09/20	54007	Clerk's Office	Unclaimed Funds			921.29	0.00
09/09/20		LUCILLE R. SAUNDERS		17.62	7100-000		0.00

**Form 2**  
**Cash Receipts and Disbursements Record**

<b>Case Number:</b>	14-32679 KLP	<b>Trustee:</b>	Bruce E. Robinson
<b>Case Name:</b>	ANDERSON & STRUDWICK, INCORPORATED	<b>Bank Name:</b>	Signature Bank
<b>Taxpayer ID#:</b>	54-0968806	<b>Account:</b>	*****9068 - Checking Account
<b>Period Ending:</b>	09/28/20	<b>Blanket Bond:</b>	\$3,000,000.00 (per case limit)
		<b>Separate Bond:</b>	N/A

1 Trans. Date	2 Check or Ref. #	3 Paid To / Received From	4 Description of Transaction	5 Uniform Tran. Code	6 Receipts \$	7 Disbursements \$	Checking Account Balance
09/09/20		SHAREHOLDERS INC.	Unclaimed Funds	903.67	7100-001		0.00

<b>ACCOUNT TOTALS</b>	<b>1,008.00</b>	<b>1,008.00</b>	<b>\$0.00</b>
Less: Bank Transfers	1,008.00	0.00	
<b>Subtotal</b>	<b>0.00</b>	<b>1,008.00</b>	
Less: Payment to Debtors	0.00		
<b>NET Receipts / Disbursements</b>	<b>\$0.00</b>	<b>\$1,008.00</b>	

Net Receipts:	\$2,684,127.90
Net Estate:	\$2,684,127.90

<b>TOTAL - ALL ACCOUNTS</b>	<b>Net Receipts</b>	<b>Net Disbursements</b>	<b>Account Balances</b>
Checking # *****0001	2,341,452.49	13,002.48	0.00
Checking # *****4502	332,385.31	1,991,105.87	0.00
Checking # *****4646	10,290.10	679,011.55	0.00
Checking # *****9068	0.00	1,008.00	0.00
	<b>\$2,684,127.90</b>	<b>\$2,684,127.90</b>	<b>\$0.00</b>